

Lake County Board of County Commissioners, Florida
Federal Funding Accountability and Transparency Act Form – Subaward to a Recipient

Purpose: The Federal Funding Accountability and Transparency Act (FFATA) empowers every American with the ability to hold the government accountable for each spending decision. The FFATA legislation requires information on federal awards (federal assistance and expenditures) be made available to the public via a single, searchable website: <http://www.usaspending.gov/>.

As a recipient of federal funds, the Lake County Board of County Commissioners (“County”) must capture and report subaward and executive compensation data regarding subawards that obligate \$30,000 or more in Federal funds.

Organization and Project Information: The following information must be provided to the County prior to issuance of a subaward (Agreement) that obligates \$30,000 or more in federal funds to a subrecipient. Please provide the following information and return the signed form to your point of contact at the County.

DUNS #: _____

FEIN/TIN: _____

Assistance Listing Number (ALN#): _____

Dollar (\$) Amount of Subaward: _____

Contract#: _____

Business Name: _____

DBA Name (*if applicable*): _____

Principle Place of Business Address:

Address Line 1: _____

Address Line 2: _____

Address Line 3: _____

City: _____ State: _____ Zip Code: _____

Principle Place of Project Performance (*if different than principal place of business*)

Address Line 1: _____

Address Line 2: _____

Address Line 3: _____

City: _____ State: _____ Zip Code: _____

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Executive Compensation Information:

1. In your business or organization's previous fiscal year, did your business or organization (including parent organization, all branches, and all affiliates worldwide) receive (a) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance (e.g. loans, grants, subgrants, and/or cooperative agreements, etc.) subject to the Transparency Act, as defined at 2 CFR 170.320; and, (b) \$30,000,000 or more in annual gross revenues from U.S. Federal procurement contracts (and subcontracts) and Federal financial assistance (e.g. loans, grants, subgrants, and/or cooperative agreements, etc.) subject to the Transparency Act?

If the answer to Question 1 is "Yes," continue to Question 2.

If the answer to Question 1 is "No", move to the signature block below to complete the certification and submittal process.

2. Does the public have access to information about the compensation of the executives in your business or organization (including parent organization, all branches, and all affiliates worldwide) through periodic reports filed under section 13(a) or 15 (d) of the Securities Exchange Act of 1934 (15 U.S.C. 78 m (a), 78 o(d)), or Section 6104 of the Internal Revenue Code of 1986?

If the answer to Question 2 is "Yes," move to the signature block below to complete the certification and submittal process. [Note: Securities Exchange Commission information should be accessible at <http://www.sec.gov/answers/execomp.htm>. Requests for Internal Revenue Service (IRS) information should be directed to the local IRS for further assistance.]

If the answer to Question 2 is "NO" FFATA reporting is required. Provide the information required in the "TOTAL COMPENSATION CHART FOR MOST RECENTLY COMPLETED FISCAL YEAR" appearing below to report the "Total Compensation" for the five (5) most highly compensated "Executives", in rank order, in your organization.

For purposes of this request, the following terms apply as defined in 2 CFR Ch. 1 Part 170 Appendix A:

"Executive" is defined as "officers, managing partners, or other employees in management positions". "Total Compensation" is defined as the cash and noncash dollar value earned by the executive during the most recently completed fiscal year and includes the following:

- Salary and bonus.
- Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.

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- Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization, or medical reimbursement plans that do not discriminate in favor of executives and are available generally to all salaried employees.
- Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- Above-market earnings on deferred compensation which is not tax-qualified.
- Other compensation, if the aggregate value of all such other compensation (e.g., severance, termination payments, value of life insurance paid on behalf of the employee, perquisites, or property) for the executive exceeds \$10,000.

Total Compensation Chart for Most Recently Completed Fiscal Year (*if applicable*)

Rank (high to low)	Last Name	First Name	M	Title	Total Compensation for Most Recently Completed Fiscal Year

Date of Fiscal Year Completion (mm/dd/yyyy): _____

The undersigned as (enter position title) _____
 of (enter business name) _____

Certifies that on the date written below, the information provided herein is accurate.

Print Name

Title

Signature

Date