
6. LITIGATION

6. LITIGATION

There are no unsatisfied judgments or arbitration awards outstanding against Stantec. Stantec does have some legal proceedings, lawsuits, or claims pending. These are a normal part of professional services industries. All have been reported to Stantec’s insurers who are in the process of adjusting/ managing them. None will have a material effect on the financial position of the company or its ability to undertake this assignment. Perhaps of greater comfort to our clients is the fact that Stantec seeks to deal with client concerns and claims

promptly and fairly through its Risk Management group. As a public company, Stantec has substantial assets and maintains a high professional liability insurance limit. Stantec’s claims history has resulted in relatively low insurance premiums when compared with firms of similar size and character.

The listing of Stantec’s legal proceedings, lawsuits, or claims pending follows.

Stantec Consulting Services Inc. (“SCSI”)

THREE YEARS LITIGATION HISTORY

Stantec does not report employment or other confidential matters. Settlements are confidential.

Under penalty of perjury Stantec declares that no litigation or regulatory action has been filed against Stantec in the last three (3) years. See below for all litigation (include the court and location) of any kind involving Respondent or any Key Staff members within the last five (5) years.

Pending Litigation for SCSI and/or acquired companies

PROJECT NAME, LOCATION & OWNER	DESCRIPTION OF CONVICTIONS, FINES and LEGAL PROCEEDINGS (include caption of case, parties, location of proceeding, description of the dispute or enforcement action, dates action commenced and concluded and status and/or outcome)
Quarry Naples, FL Owner - Centex Homes now Pulte	Quarry Community Association Inc., Plaintiffs vs. Centex Real Estate, Wilson Miller Inc., et al.; allegation re construction defects in common areas which were constructed by Pulte; commenced May 31, 2017; pending, unresolved litigation.
Eastside WRF Aeration System and Headworks Improvements Nokomis, FL Owner - City of Venice, FL	Antaya v. MWH Americas, Inc., MWH Global, Inc., Stantec Consulting Services Inc., et al.; wrongful death claim; allegation of negligence in provision of contract administration services; commenced January 2018; pending, unresolved litigation.
SR 836 & SR 826 Interchange Reconstruction Miami, FL Owner – Florida Department of Transportation	Jose Antonio Florez Garcia, Plaintiff vs. BCC Engineering, Inc., et al., (Stantec Consulting International, LLC, an AZ corporation); commenced December 2018; allegation re personal injury; pending, unresolved litigation.
Gleman v. MWH Americas Inc., et al.	Michael E. Gleman, Plaintiff, v. MWH Americas, Inc., Jack McDonald, Adam Ward, Stantec Consulting International, LLC, Hinterland Group and Dan Duke, Defendants filed in Circuit Court of the 15th Judicial Circuit in and for Palm Beach, FL; claim alleges defamation, tortious interference with a business relationship and negligent retention and supervision. Claim is for damages in excess of \$15,000; motion to dismiss granted, appeal pending; September 2019.
Mallory Park Del Webb, Manatee County, FL Owner – Pulte Homes @ Time of Survey	Leo Hyatt, Barbara Hyatt and Laura Mondello, Plaintiffs, v. Geopointe Surveying, Inc. and David Bouverat, Inc. d/b/a Key Appraisal Services, Inc.; allegation re property survey, commenced July 2019; pending, unresolved litigation.

PROJECT NAME, LOCATION & OWNER	DESCRIPTION OF CONVICTIONS, FINES and LEGAL PROCEEDINGS (include caption of case, parties, location of proceeding, description of the dispute or enforcement action, dates action commenced and concluded and status and/or outcome)
SR 286 / I-75 Express Lane Project Miami, FL Owner – Florida Department of Transportation	Mariella Barbera, Plaintiff, vs. COMMUNITY/CONDOTTE/DE MOYA, JV, LLC, a Florida Corporation, BCC ENGINEERING, INC., a Florida Corporation, STANTEC CONSULTING SERVICES INC., a Foreign Corporation, and, BOB'S BARRICADES, INC., a Florida Corporation, Defendant; allegation re personal injury; pending, unresolved litigation,
SR 286 / I-75 Express Lane Project Miami, FL Owner – Florida Department of Transportation	Manuel Enrique Rodriguez Barbera, an individual, Ehimy Doucet, an individual, Alexander Doucet, a minor and Alisha Doucet, a minor, Plaintiffs, vs. Community / Condotte / De Mayo, JV, LLC, a Florida Corporation, BCC Engineering, Inc., a Florida Corporation, Stantec Consulting Services Inc., a Foreign Corporation, Defendants; commenced October 2019; allegation re personal injury; pending, unresolved litigation.
State Road 8 (1-10) in Leon County Leon County, FL Owner – Florida Department of Transportation	Alfonso Diaz Bautista, a FL citizen and resident, Lucia P. Gutierrez, a FL citizen and resident, and Alfonso Diaz Bautista and Lucia P. Gutierrez, as Parents and Natural Guardians of Minor Child, RB, Plaintiff, vs. Carter & Burgess nka Jacobs Engineering, a Foreign Corporation, C. W. Roberts Contracting Incorporated, a FL Citizen, Resident, and Corporation, Safety Contractors, Inc., a FL Citizen, Resident and Corporation, and Greenhome O'Mara nka Stantec, a Foreign Corporation, Defendant; commenced October 2019; allegation re personal injury; pending, unresolved litigation.
Oldsmar FL BMX Supercross Oldsmar, FL Owner – City of Oldsmar, FL	City of Oldsmar, FL, Plaintiff, v. TAMP A BAY CONSTRUCTION & ENGINEERING, INC., a Florida corporation; ALLIED PROPERTY & CASUALTY INSURANCE COMPANY, an Ohio company; and STANTEC CONSULTING SERVICES, INC., a New York corporation, Defendants; commenced December 2019; allegation re cracks in masonry in retaining walls; pending, unresolved litigation.

Closed Litigation for SCSI and/or acquired companies

PROJECT NAME, LOCATION & OWNER	DESCRIPTION OF CONVICTIONS, FINES and LEGAL PROCEEDINGS (include caption of case, parties, location of proceeding, description of the dispute or enforcement action, dates action commenced and concluded and status and/or outcome)
<p>U.S. 41 Revitalization – Design Services Port Charlotte, FL Owner - Charlotte County, FL</p>	<p>Marilyn O'Conner, Plaintiff v. Charlotte County (Defendant v. WilsonMiller (Third Party)); Charlotte County, Florida; allegations that placement of parking curbs caused personal injury trip and fall; commenced May 2015; settled and closed April 2017</p>
<p>Miami Science Museum Seawater Intake System Design Miami, FL Owner - Museum of Science</p>	<p>Kirlin Florida, LLC, a foreign limited liability company, Plaintiff, vs. Museum of Science, Inc., dba Patricia and Phillip Frost Museum of Science, a FL not-for-profit corporation, Defendant, vs. Rodriguez and Quiroga Architects Chartered, a FL corporation, FRAGA Engineers, LLC, a FL limited liability company, Grimshaw Architects, P.C., a foreign professional corporation, DDA Engineers, P.A., a FL professional corporation and MWH Americas, Inc. aka MWH Global, a foreign corporation, Defendants. Rodriguez and Quiroga Architects Chartered, a FL corporation, Third-Party Plaintiff vs. Suffolk Construction Co., Inc., a MA corporation, Third-Party Defendant; Miami-Dade, FL; commenced July 11, 2017; allegation re design errors and omissions. Settled January 2019; closed March 2019.</p>
<p>Project Name – Miami, FL Owner – Florida Department of Transportation</p>	<p>Troy Williamson, Plaintiff vs. State of Florida Department of Transportation, et al., (Stantec Consulting International, LLC, an AZ corporation); allegation re personal injury; settled in May 2019.</p>