



# Lake~Sumter MPO- FY 2019-2020 Planning Process Certification

April 24, 2019

## Purpose:

- Federal law requires FDOT and the MPOs to jointly certify the transportation planning process for each metropolitan planning area concurrent with the submittal of the proposed Transportation Improvement Program (TIP) to FHWA and FTA.
- The primary purpose of a certification review is to ensure the planning requirements of 23 U.S.C. 134 and 49 U.S.C. 5303 are being satisfactorily implemented.

# Process:

- The District and the TPO prepare a preliminary copy of the Joint Certification questions.
- The District and the TPO meet to discuss the findings.
- Resolve any issues.
- Consult with Central Office, and if necessary, FHWA and FTA.
- Submit final package and present to board.

## Status of Prior Certifications:

- There was a recommendation made by the Department in the 2017 Joint Certification, as well as last year's 2018 Joint Certification, that on the Lake-Sumter MPO website there was a ETDM Project Diary Link that was not active and should be removed. This link is still present and inactive on the website. The MPO has until June 30, 2019 to remove this link.

# Risk Assessment:

- The FDOT Joint Certification–Master Certification Document is intended to satisfy the Risk Assessment requirements described in 2 CFR §200.331.
- The questions are quantified using a point scale to assign a level of risk for each MPO, which is updated annually through the Joint Certification Process.
- The results of the MPO Risk Assessment determines the minimum frequency of which the MPO’s supporting documentation for their invoices is reviewed by FDOT District Liaisons for the upcoming State fiscal year.

# Risk Findings:

- Risk Assessment = Low

Scale	Risk Level	Frequency of Monitoring
0-1	Low	Annually
2-3	Moderate	Bi-annually
4-5	Elevated	Triennially
>6	High	Quarterly

## Recommendations and Corrective Actions:

The Department recommends:

- The MPO develop a Congestion Management Process (CMP) and to add this language into to their TIP and LRTP.
- The MPO update their website. There are currently outdated documents such as the Corridor Constraint Policy, Continuity of Operations Plan (COOP), Transportation Acronyms and Glossary of Abbreviations, Transit Operations Plan, Transit Development Plan and Organizational Chart.

## Noteworthy Practices and Achievements:

- In just a year, the MPO went from being assessed as an Elevated Risk to a Low Risk, by following State and Federal policies and procedures.
- Updated Documents:
  - Disadvantaged Business Enterprise (DBE) Plan
  - Local Economic Development Plan (LED)
  - Public Involvement Plan (PIP)
  - Title VI Policy Statement and Title VI training completed
- All these achievements were made while in transition to a new MPO Director who took office in September 2018.





# Thank You

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